Appl. No. 10/052,362 Atty. Docket No. CP-1218 Amdt. Dated January 9th, 2004 Reply to Office Action of October 9th, 2003

hydroxyalkyl,  $C_1$  to  $C_6$  alkoxy,  $C_1$  to  $C_6$  aminoalkyl or  $R^3$  and  $R^4$  together form a  $G_4$   $C_2$  to  $C_5$  alkylene group; and  $R^5$  is selected from  $C_1$  to  $C_{22}$  alkyl and  $C_1$  to  $C_{22}$  mono or dihydroxyalkyl. Preferably X is Cl, Br, I and  $R^5SO_4$  where  $R^5$  is  $C_1$  to  $C_4$  alkyl, more preferably methyl; and preferably R,  $R^1$ ,  $R^2$ ,  $R^3$  and  $R^4$  are each individually  $C_1$  to  $C_3$  alkyl, and more preferably methyl.

## THE CLAIMS

## 1. (amended) A compound of formula (1):

$$\begin{array}{c|c}
 & R^2 \\
 & N \\
 & R^1 \\
 & R^4 \\
 & R^3
\end{array}$$
(1)

wherein X is selected from the group consisting of halogen and  $R^5SO_4$ ; R,  $R^1$ , and  $R^2$  are each individually selected from the group consisting of  $C_1$  to  $C_{22}$  alkyl,  $C_1$  to  $C_{22}$  mono or dihydroxyalkyl, or two of R,  $R^1$  and  $R^2$  together with the nitrogen atom to which they are attached form a  $C_3$  to  $C_6$  saturated or unsaturated ring optionally containing in the ring one or more additional hetero atoms selected from O, S and N atoms;  $R^3$  and  $R^4$  are each individually selected from the group consisting of  $C_1$  to  $C_6$  alkyl,  $C_1$  to  $C_6$  hydroxyalkyl,  $C_1$  to  $C_6$  alkoxy,  $C_1$  to  $C_6$  aminoalkyl or  $R^3$  and  $R^4$  together form a  $C_1$  to  $C_2$  to  $C_3$  alkylene group; and  $C_1$  is selected from the group consisting of  $C_1$  to  $C_2$  alkyl and  $C_1$  to  $C_3$  mono and dihydroxyalkyl.

2. (original) A compound of Claim 1 wherein X is selected from the group consisting of Cl, Br, I and  $R^5SO_4$  where  $R^5$  is  $C_1$  to  $C_3$  alkyl; and R,  $R^1$ ,  $R^2$ , are selected from the group consisting of a  $C_1$  to  $C_3$  alkyl group or two of R,  $R^1$  and  $R^2$  together with the nitrogen atom to which they are attached form a piperazinium or imidazolium group, and  $R^3$  and  $R^4$  are each individually a  $C_1$  to  $C_3$  alkyl group.

Appl. No. 10/052,362 Atty. Docket No. CP-1218 Amdt. Dated January 9th, 2004 Reply to Office Action of October 9th, 2003

- 3. (original) A compound of Claim 2 wherein each of R, R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are methyl groups.
- 4. (original) A compound of Claim 2 wherein is X is selected from the group consisting of Cl, Br and methyl sulfate.
- 5. (original) A compound of Claim 3 wherein X is selected from the group consisting of Cl, Br and methyl sulfate.
- 6. (original) A compound of Claim 5 wherein X is Br.
- 7. (amended) A process for the preparation of a compound of formula (1):

wherein X is selected from the group consisting of halogen and R<sup>5</sup>SO<sub>4</sub>; R, R<sup>1</sup>, and R<sup>2</sup> are each individually selected from the group consisting of C<sub>1</sub> to C<sub>22</sub> alkyl, C<sub>1</sub> to C<sub>22</sub> mono or dihydroxyalkyl, or two of R, R<sup>1</sup> and R<sup>2</sup> together with the nitrogen atom to which they are attached form a C<sub>3</sub> to C<sub>6</sub> saturated or unsaturated ring optionally containing in the ring one or more additional hetero atoms selected from O, S and N atoms; R<sup>3</sup> and R<sup>4</sup> are each individually selected from the group consisting of C<sub>1</sub> to C<sub>6</sub> alkyl, C<sub>1</sub> to C<sub>6</sub> hydroxyalkyl, C<sub>1</sub> to C<sub>6</sub> alkoxy, C<sub>1</sub> to C<sub>6</sub> aminoalkyl or R<sup>3</sup> and R<sup>4</sup> together form a C<sub>2</sub> to C<sub>5</sub> alkylene group; and R<sup>5</sup> is selected from the group consisting of C<sub>1</sub> to C<sub>22</sub> alkyl and C<sub>1</sub> to C<sub>22</sub> mono

Appl. No. 10/052,362 Atty. Docket No. CP-1218 Amdt. Dated January 9<sup>th</sup>, 2004 Reply to Office Action of October 9<sup>th</sup>, 2003

and dihydroxyalkyl of Claim 1 comprising (a) reacting an aminophenol of the formula

(2):

with a 2-haloethanol and potassium carbonate to produce an alcohol of formula (3):

(3)

(b) converting the alcohol of formula (3) into a compound of formula (4) by reacting the alcohol compound with triphenylphosphine and a halo-succeinimide

and (c) reacting the compound of formula (4) with a quaternization agent of the formula (NRR<sup>1</sup>R<sup>2</sup>) to produce a compound of formula (1)

$$\begin{array}{c|c}
 & R^2 \\
 & N^{t} - R \\
 & R^3 \\
 & R^3
\end{array}$$
(1)

wherein X, R, R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are as defined in Claim 1.

Appl. No. 10/052,362 Atty. Docket No. CP-1218 Amdl. Dated January 9<sup>th</sup>, 2004 Reply to Office Action of October 9<sup>th</sup>, 2003

- 8. (original) A process according to Claim 7 wherein X is selected from the group consisting of Cl. Br, I and  $R^5SO_4$  where  $R^5$  is  $C_1$  to  $C_3$  alkyl; and R,  $R^1$ ,  $R^2$ , are selected from the group consisting of a  $C_1$  to  $C_3$  alkyl group or two of R,  $R^1$  and  $R^2$  together with the nitrogen atom to which they are attached form a piperazinium or imidazolium group, and  $R^3$  and  $R^4$  are each individually a  $C_1$  to  $C_3$  alkyl group.
- 9. (original) A process according to Claim 7 wherein each of R, R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup> and R<sup>5</sup> are methyl groups.
- 10. (original) A process according to Claim 7 wherein X is selected from the group consisting of Cl. Br and methyl sulfate.
- 11. (withdrawn)
- 12. (withdrawn)
- 13. (withdrawn)
- 14. (withdrawn)
- 15. (withdrawn)
- 16. (withdrawn)
- 17. (withdrawn)
- 18. (withdrawn)
- 19. (withdrawn)
- 20. (withdrawn)
- 21. (withdrawn)
- 22. (withdrawn)
- 23. (withdrawn)
- 24. (withdrawn)

## REMARKS

Claims 1-24 remain pending in the present application. Claims 11-24 have been withdrawn from consideration. Claim I has been amended to correct an obvious error. Claim 7

Appl. No. 10/052,382 Atty. Docket No. CP-1218 Amdt. Dated January 9th, 2004 Reply to Office Action of October 9th, 2003

has been placed in independent form per the Examiner's instructions. No new matter has been added. No additional Claim fee is due.

Claims 1-10 have been rejected under 35 U.S.C. §112 as being indefinite for falling to particularly point out and distinctly claim the subject matter of the invention. Specifically, the Examiner has rejected the language "R<sup>3</sup> and R<sup>4</sup> together form a C<sub>1</sub> to C<sub>3</sub> alkylene group". The Examiner has correctly identified that Applicants have incorrectly stated that C<sub>1</sub> may be an alkylene group. One of ordinary skill in the art will immediately recognize that there must be at least 2 carbon atoms present for an alkylene group to exist. As such, one of ordinary skill in the art would recognize that the phrase should read "C<sub>2</sub>-C<sub>3</sub> alkylene group" Accordingly, the correction does not constitute new matter. As such Applicants have made a correction to both the specification and the claims to correct this obvious error.

Claims 1-6 have been rejected under 35 U.S.C. §103(a) as being unpatentable over the article by Coleman et al entitled "Nicotine-like Stimulant Actions of Several substituted Phenylcholine Ethers" in view of an excerpt from the book "Organic Chemistry" by Bruice. Applicants respectfully traverse the rejection.

The Coleman reference teaches substituted phenylcholine ethers which display nicotine like stimulant action. However, as the Examiner recognizes, Coleman does not teach or discloses the compounds claimed by Applicants. Namely, Coleman fails to teach a substituted amino group in the meta position of the phenylcholines set forth. Rather, Coleman teaches only non-substituted amino. The Examiner then weaves a complex path through the organic chemistry world in order to arrive at a motivation to modify the teachings of the Coleman reference. The Examiner draws teachings from the Coleman reference that teach that the inductive effect or electron withdrawing is what plays a role in increasing the activity of a compounds nicotine like stimulant ability. And further that the electron resonance effect or electron donating has a negligible effect on the activity.

The Examiner than cites the Bruice reference as showing that NH<sub>2</sub> set forth in Coleman a more strongly activating substitution than NR<sub>2</sub> as claimed by Applicants. Thus, as NR<sub>2</sub> has a better inductive effect than NH<sub>2</sub> per the table in Bruice and Coleman teaching inductive effect is what plays a role in activity for nicotine like stimulant ability than it would be obvious to one of ordinary skill to modify Coleman to arrive at the NR<sub>2</sub> group claimed by Applicants.

However, in so crafting the above line of reasoning to establish motivation, the Examiner has ignored the clear teachings of the combination as a whole to arrive at Applicants invention. When examined as a whole, the combination of references fails to provide the stated motivation.

The teachings of Coleman lead one of ordinary skill in the art to conclude that the substitutions which have a stronger inductive effect than resonance effect provide greater activity

Appl. No. 10/052,362 Atry, Docket No. CP-1218 Amdt. Dated January 8<sup>th</sup>, 2004 Reply to Office Action of October 9<sup>th</sup>, 2003

to the compounds disclosed. At the same time, substituents which provide too strong an inductive effect such as the nitro group are very poor performers. Thus, Coleman concludes that inductive effect alone is not the answer. Rather some other effect is at work, namely polarizability or inductrometric effect. This is the ability of the inductive effect along the substituent and ring bond at the moment of interaction with a second molecule. Thus, a substituent which increases this effect is the preferred substituent. Coleman goes on to state that electronegative effect plays a role. This is demonstrated by the halogens where a weakly electronegative substituent such a I is the most activating. Thus, Coleman et al's teachings lead one of ordinary skill in the art the conclude that a substituent which has a inductive effect yet weak electronegativity is actually the preferred substituent. This is confirmed by Coleman wherein, the I group is the most activating group, even-more-so than-the NH<sub>2</sub>-group (see page 70). Presumably then Coleman's preferred compound would be I substituted phenylcholine ether.

Thus, one of ordinary skill in the art concludes that a compound which is both weakly electronegative and more strongly inductive will be a better activator for the nicotine like stimulants of Coleman. One of ordinary skill in the art is also well aware that substituent's such as N are more greatly electronegative than those of C or carbon. Thus, one of ordinary skill would be motivated to choose a more inductive C substituent from the list in Bruice rather than the N substitution set forth by the Examiner. The Examiner has seized upon and selected the NR2 substitution from the more than 20 potential substituents in Bruice as it is the only one from the list in Bruice which potentially reads upon Applicants claims. However, the clear teaching to one or ordinary skill in the art from the combination of Coleman and Bruice are substitutions combining both weak electronegativity and inductive effect of which there are a number of better options from the list in Bruice than NR<sub>2</sub> namely a number of different C substituent options. including a straight C chain. Clearly, when viewing the combination as a whole the motivation set forth by the Examiner breaks down and is found lacking. One of ordinary skill in the art is in fact lead aware from the asserted modification set forth by the Examiner and lead in an entirely different direction. Accordingly, the Examiner has failed to establish a prima facie case of obviousness in the asserted combination and the claims as amended are novel and unobvious over the prior art of record.

## Conclusion

In light of the remarks presented herein, Applicants' respectfully submit that Claims 1-10 are allowable over the prior art of record or any combination thereof. Reconsideration and reexamination are respectfully requested. In the event that issues remain prior to allowance of the

JAN-09-2004 19:21

BC IP DIVISION

5136261355 P.12/12

Appl. No. 10/052,362 Atty. Docket No. CP-1218 Amdt. Dated January 9<sup>th</sup>, 2004 Reply to Office Action of October 9<sup>th</sup>, 2003

noted claims, then the Examiner is invited to call Applicants' undersigned attorney to discuss any

remaining issues.

Respectfully submitted,

Brian M. Bolam Attorney for Applicant(s) Registration No. 37,513

\_(513).626-4756..

Јалиагу 9<sup>th</sup>, 2004

Customer No. 27752